

(Only for new nonprovisional applications under 37 CFR 1.53(b))

First Inventor or Application Identifier	William J.
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Title	METHOD AND APPARATUS UTILIZING MONOCRYSTALLINE INSULATOR
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id 872 U.S. 110

10/17/01

*See MPEP chapter 600 concerning utility patent application contents*

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b><br>(Submit an original and a duplicate for fee processing)   |   |
| <input checked="" type="checkbox"/> <b>Specification</b>  | Total Sheets <span style="border: 1px solid black; padding: 2px 10px;"><b>27</b></span> |
| <input checked="" type="checkbox"/> <b>Formal Drawing(s)</b><br>(35 U.S.C. 113)   | Total Sheets <span style="border: 1px solid black; padding: 2px 10px;"><b>6</b></span>  |
| 4. <input checked="" type="checkbox"/> <b>Oath or Declaration</b>   |   |
| a. <input checked="" type="checkbox"/> <b>Newly executed (original or copy)</b>   |   |
| b. <input type="checkbox"/> <b>Copy from a prior application (37 C.F.R. §1.63(d))</b><br>(for continuation / divisional w/ box 17 completed)  |   |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b). |   |
| 5. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program</b> ( <i>Appendix</i> )   |   |
| 6. <input type="checkbox"/> <b>Nucleotide and/or Amino Acid Sequence Submission</b><br>( <i>if applicable, all necessary</i> )  |   |
| a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b>   |   |
| b. <b>Specification or Sequence Listing on:</b>   |   |
| i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b>  |   |
| ii. <input type="checkbox"/> <b>Paper</b>   |   |
| c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b>  |   |

7. ■ Assignment Papers (cover sheet & document(s))
8. ■ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ■ Information Disclosure Statement (IDS)/PTO-1449 ■ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ■ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application no.:

*Prior application information:*      Examiner:

**Group Art Unit:**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

- ☐ Which was published in English
- ☐ Which was not published in English

☐ This application claims priority of provisional application Serial No.

Filed


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Docket No. 214975US99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) William J. OOMS et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: METHOD AND APPARATUS UTILIZING MONOCRYSTALLINE INSULATOR

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	53 - 20 =	33	× \$18 =	\$594.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$84 =	\$252.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$1,586.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
TOTAL				\$1,626.00

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Respectfully Submitted,

OBLON, SPIVAK, MCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: 10/17/01

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